

Extracted from:

Functional Programming in Java, Second Edition

Harness the Power of Streams and Lambda Expressions

This PDF file contains pages extracted from *Functional Programming in Java, Second Edition*, published by the Pragmatic Bookshelf. For more information or to purchase a paperback or PDF copy, please visit <http://www.pragprog.com>.

Note: This extract contains some colored text (particularly in code listing). This is available only in online versions of the books. The printed versions are black and white. Pagination might vary between the online and printed versions; the content is otherwise identical.

Copyright © 2023 The Pragmatic Programmers, LLC.

All rights reserved.

No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form, or by any means, electronic, mechanical, photocopying, recording, or otherwise, without the prior consent of the publisher.

The Pragmatic Bookshelf

Raleigh, North Carolina

The
Pragmatic
Programmers

Functional Programming in Java

Second Edition

Harness the Power of Streams
and Lambda Expressions



Venkat Subramaniam

Edited by Jacquelyn Carter

Functional Programming in Java, Second Edition

Harness the Power of Streams and Lambda Expressions

Venkat Subramaniam

The Pragmatic Bookshelf

Raleigh, North Carolina



Many of the designations used by manufacturers and sellers to distinguish their products are claimed as trademarks. Where those designations appear in this book, and The Pragmatic Programmers, LLC was aware of a trademark claim, the designations have been printed in initial capital letters or in all capitals. The Pragmatic Starter Kit, The Pragmatic Programmer, Pragmatic Programming, Pragmatic Bookshelf, PragProg and the linking *g* device are trademarks of The Pragmatic Programmers, LLC.

Every precaution was taken in the preparation of this book. However, the publisher assumes no responsibility for errors or omissions, or for damages that may result from the use of information (including program listings) contained herein.

For our complete catalog of hands-on, practical, and Pragmatic content for software developers, please visit <https://pragprog.com>.

Sir Charles Antony Richard Hoare's quote is used by permission of the ACM.
Abelson and Sussman's quote is used under Creative Commons license.

The team that produced this book includes:

CEO: Dave Rankin

COO: Janet Furlow

Managing Editor: Tammy Coron

Development Editor: Jacquelyn Carter

Indexing: Potomac Indexing, LLC

Layout: Gilson Graphics

Founders: Andy Hunt and Dave Thomas

For sales, volume licensing, and support, please contact support@pragprog.com.

For international rights, please contact rights@pragprog.com.

Copyright © 2023 The Pragmatic Programmers, LLC.

All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form, or by any means, electronic, mechanical, photocopying, recording, or otherwise, without the prior consent of the publisher.

ISBN-13: 978-1-68050-979-3

Encoded using the finest acid-free high-entropy binary digits.

Book version: P1.0—July 2023

To perseverance.

Working with Resources

We make extensive use of resources when programming—we access files, communicate to remote services, use database connections, and so on. And, that often involves working with issues like the timely release of the resources, locking for synchronization, and handling exceptions that may arise. Dealing with all of these concerns at the same time can get daunting. In this chapter we'll see how we can structure our code, using lambda expressions, to alleviate the pain of managing resource access in general—that is, to deal with the mundane tasks that we'd better not get wrong.

We may have been led to believe that the JVM automates all garbage collection (GC). It's true that we could let the JVM handle it if we're only using internal resources. But GC is our responsibility if we use external resources, such as when we connect to databases, open files and sockets, or use native resources.

Java provides a few options to properly clean up resources, but, as we'll see in this chapter, none are as effective as what we can do with lambda expressions. We'll use lambda expressions to implement the *execute around method (EAM)* pattern, which gives us better control over the sequencing of operations.¹ By using this pattern, as we'll see, we move the burden of managing the resource lifetime from the user of a piece of code to its developer who has better knowledge and control over those details.

We'll then take the ideas of managing resources further to streamline more operations around the use of resources. We'll see how to manage the critical and error-prone task of managing locks in a safe way. Finally, we'll look at how these ideas can also help us with writing exception tests in a concise and elegant way.

1. <http://c2.com/cgi/wiki?ExecuteAroundMethod>

Cleaning Up Resources

GC can be a pain to deal with. A company asked me to help debug a problem—one programmer described the issue as “it works fine...most of the time.” The application failed during peak usage. It turned out that the code was relying on the `finalize()` method to release database connections. The JVM figured it had enough memory and opted not to run GC. Since the finalizer was rarely invoked, it led to external resource clogging and the resulting failure.

We need to manage situations like this in a better way, and lambda expressions can help. Let’s start with an example problem that involves GC. We’ll build the example using a few different approaches, discussing the merits and deficiencies of each. This will help us see the strengths of the final solution using lambda expressions.

Peeking into the Problem

We’re concerned with external resource cleanup, so let’s start with a simple example class that uses a `FileWriter` to write some messages.

```
resources/fpij/FileWriterExample.java
public class FileWriterExample {
    private final FileWriter writer;

    public FileWriterExample(final String fileName) throws IOException {
        writer = new FileWriter(fileName);
    }

    public void writeStuff(final String message) throws IOException {
        writer.write(message);
    }

    public void finalize() throws IOException { //Deprecated in Java 9
        writer.close();
    }
    //...
}
```

In the `FileWriterExample` class’s constructor, we initialize an instance of `FileWriter`, giving it the name of a file to write to. In the `writeStuff()` method we write the given message to the file using the instance of the `FileWriter` we created. Then, in the `finalize()` method we clean up the resource, calling `close()` on it with the hope that it will flush the content to the file and close it.

At first glance, the code seems reasonable. After all, classes written in many Java applications use the `finalize()` method to clean up resources, a standard practice until Java 8, and a lot of legacy code still uses that function. In reality, expecting the resources to be cleaned up automatically is rather wishful thinking.

If the JVM finds that sufficient memory is available, then the GC won't be invoked and thus the `finalize()` method won't be called for a long time. This will result in the resource not being released in a timely manner and can also lead to resource contention issues. This is one of the reasons why the `finalize()` method was deprecated in Java 9, to encourage programmers to move away from using that method. We'll look at alternatives to the `finalize()` method shortly, but first, let's write a `main()` method to use the `FileWriterExample` class.

```
resources/fpij/FileWriterExample.java
public static void main(final String[] args) throws IOException {
    final FileWriterExample writerExample =
        new FileWriterExample("peekaboo.txt");
    writerExample.writeStuff("peek-a-boo");
}
```

We created an instance of the `FileWriterExample` class and invoked the `writeStuff()` method on it, but if we ran this code, we'd see that the `peekaboo.txt` file was created but it's empty. The finalizer never ran; the JVM decided it wasn't necessary as there was enough memory. As a result, the file was never closed, and the content we wrote was not flushed from memory.

If we create several instances of the `FileWriterExample` class in a long-running process, we'll end up with several open files. Many of these files won't be closed in a timely manner since the JVM has a lot of memory and sees no reason to run GC.

Let's fix the problem by adding an explicit call to `close()`, and let's get rid of the `finalize()` method.

Say Farewell to `finalize()`

The `finalize()` method was deprecated in Java 9. Take a few minutes to examine your own production code to see if the `finalize()` method is still present in any of the classes. If you find them, note the occurrences down as technical debt and schedule time to clean those up using the techniques you learn in this chapter.

Closing the Resource

Even though the object's memory cleanup is still at the mercy of the JVM's GC, we could convince ourselves that the external resources used by an instance may be quickly cleaned up with an explicit call. That, unfortunately, will result in more issues. To see this, let's write a `close()` method.

```
resources/fpij/FileWriterExample.java
public void close() throws IOException { //Not a good solution
    writer.close();
}
```

In the `close()` method, in turn, we call the `FileWriter` instance's `close()` method. If we used any other external resources in the `FileWriterExample`, we can clean them up here, as well. Let's make explicit use of this method in the `main()` method.

```
resources/fpij/FileWriterExample.java
final FileWriterExample writerExample =
    new FileWriterExample("peekaboo.txt");

writerExample.writeStuff("peek-a-boo");
writerExample.close();
```

If we run the code now and look into the `peekaboo.txt` file, we'll see the peek-a-boo message. The code works, but it's far from perfect.

The explicit call to `close()` cleans up any external resources the instance uses as soon as we indicate the instance is no longer needed. But we may not reach the call to the `close()` method if there was an exception in the code leading up to it. We'll have to do a bit more work to ensure the call to `close()` happens. Let's take care of that next.

Ensuring Cleanup

We need to ensure the call to `close()` happens whether or not there's an exception. To achieve this, we can wrap the call in a `finally` block.

```
resources/fpij/FileWriterExample.java
final FileWriterExample writerExample =
    new FileWriterExample("peekaboo.txt");

try { //Rather verbose
    writerExample.writeStuff("peek-a-boo");
} finally {
    writerExample.close();
}
```

This version will ensure resource cleanup even if an exception occurs in the code, but that's a lot of effort and the code is verbose and smelly. Java 7 introduced a feature to reduce such smells, as we'll see next.

Using ARM

The automatic resource management (ARM) is a feature that has been available since Java 7 and is useful for automatically releasing a resource at the end of its usage. When used properly, ARM can reduce verbosity in code. Rather

than using both the try and finally blocks that we used in the previous example, we can use the ARM feature with a special form of the try block with a resource attached to it. When this syntax is used, the Java compiler takes care of automatically inserting, in the bytecode, the finally block and a call to the close() method.

Let's see how the code would look with ARM; we'll use an instance of a new `FileWriterARM` class.

`resources/fpij/FileWriterARM.java`

```
try(final FileWriterARM writerARM = new FileWriterARM("peekaboo.txt")) {
    writerARM.writeStuff("peek-a-boo");

    System.out.println("done with the resource...");
}
```

We created the instance of the class `FileWriterARM` within the safe haven of the *try-with-resources* form and invoked the `writeStuff()` method within its block. When we leave the scope of the try block, the `close()` method is automatically called on the instance/resource managed by this try block. For this to work, the compiler requires the managed resource class to implement the `AutoCloseable` interface, which has just one method, `close()`.

The rules around `AutoCloseable` have gone through a few changes in Java. First, `Stream` implements `AutoCloseable` and, as a result, all input/output (I/O)-backed streams can be used with *try-with-resources*. The contract of `AutoCloseable` has been modified from a strict “the resource *must* be closed” to a more relaxed “the resource *can* be closed.” If we're certain that our code uses an I/O resource, then we should use *try-with-resources*.

Here's the `FileWriterARM` class used in the previous code.

`resources/fpij/FileWriterARM.java`

```
public class FileWriterARM implements AutoCloseable {
    private final FileWriter writer;

    public FileWriterARM(final String fileName) throws IOException {
        writer = new FileWriter(fileName);
    }

    public void writeStuff(final String message) throws IOException {
        writer.write(message);
    }

    public void close() throws IOException {
        System.out.println("close called automatically...");
        writer.close();
    }

    //...
}
```

Let's run the code and look at the peekaboo.txt file and the console for the code's output.

```
done with the resource...  
close called automatically...
```

We can see the `close()` method was called as soon as we left the try block. The instance we created when entering the try block isn't accessible beyond the point of leaving the block. The memory that instance uses will be garbage-collected eventually based on the GC strategy the JVM employs.

The previous code using ARM is concise and charming, but the programmers have to remember to use it. The code won't complain if we ignore this elegant construct; it will simply create an instance and call methods like `writeStuff()` outside of any try blocks. If we're looking for a way to ensure timely cleanup and avoid programmer errors, we have to look beyond ARM, as we'll do next.